

**Ministry of Finance and Public Credit
Republic of Colombia
National Housing Fund - Fonvivienda**

**Ministry of Housing, City and Territory
Resilient and Inclusive Housing Project in
Colombia (P172535)**

Draft

**ENVIRONMENTAL AND SOCIAL COMMITMENT
PLAN (ESCP)**

June 8, 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Colombia will execute the “Resilient and Inclusive Housing Project in Colombia” (the **Project**), through the Ministry of Finance and Public Credit (Ministerio de Hacienda y Crédito Público - MHCP) and Fonvivienda. The implementing agency will be the Ministry of Housing, City and Territory (Ministerio de Vivienda, Ciudad y Territorio - MVCT). The Project will also involve the participation of the beneficiary municipalities. The International Bank for Reconstruction and Development (hereinafter **the Bank**) has agreed to bankroll this project.
2. The MVCT will implement the significant measures and actions so that the project may be executed in accordance with the Environmental and Social Standards (**ESS**). This Environmental and Social Commitment Plan (**ESCP**) lays out the significant measures and actions and all the specific documents or plans, as well as the schedule for the completion of each one.
3. The MVCT will also abide by the provisions of all the environmental and social documents required by virtue of the Bank’s Environmental and Social Standards (ESS) herein mentioned, such as the Environmental and Social Management Framework (ESMF), the Environmental and Social Management Plans (ESMP), Labor Management Procedures (LMP) and the Stakeholder Engagement Plan (SEP), in addition to the schedules set in said environmental and social documents.
4. The MVCT will be responsible for meeting all the requirements of the ESCP, even when the implementation of specific measures and actions has been entrusted to the entities listed under item 1.
5. The MVCT will supervise the implementation of significant measures and actions defined in this ESCP and report to the Bank considering the ESCP and the conditions of the legal agreement. The Bank will oversee and evaluate the advancement and completion of said measures and actions throughout the execution of the project.
6. As agreed by the Bank, the MHCP and Fonvivienda, this ESCP may be periodically revised and updated throughout the execution of the project to reflect the adaptive management of the project’s changes and unforeseen circumstances, or as a response to the assessment of the project’s results conducted following the ESCP. In these circumstances, the MVCT will agree with the Bank on the changes and update the ESCP. The agreed changes to the ESCP will be documented in the exchange of letters signed by the Bank, the MHCP and Fonvivienda and/or the implementing agency. The MVCT will timely disclose the updated ESCP.
7. Whenever changes to the Project, unforeseen circumstances or the project’s outcome lead to changes to the risks and impacts during the project’s execution, the Republic of Colombia will provide additional funding, whenever necessary, to implement the measures allowing to face these risks and impacts, which may include, among others: risk of damages to and affecting populations and public and private infrastructure; affecting the means of subsistence or livelihood of the inhabitants; generating unmitigated pollution; generating hazardous waste; eliminating vegetation cover; land erosion; altering the terrestrial and marine habitats; affecting wildlife; involuntary displacement of the inhabitants; diverse risks to the communities linked to the influx of workers during the construction of the works; unsustainable water supply due to inadequate design, poor construction or lack of implementation of the activities defined to protect and preserve the recharge areas or mitigate other environmental and social impacts; risk of contaminating the supplied water or the inadequate functionality of the works to be undertaken.

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
MONITORING AND PRESENTATION OF REPORTS			
A	<p>PERIODIC PRESENTATION OF REPORTS</p> <p>Prepare and submit to the Bank periodic monitoring reports on the Project’s performance concerning environmental, social, health and occupational safety matters. They must include, among others, the execution of the ESCP, the status of the preparation and execution of the environmental and social documents required by the Project, the activities of the stakeholder engagement plan SEP and the operation of the Grievance Mechanism -GM.</p> <p>The project executor must make sure that the institutional arrangements are in place and the necessary systems, resources and personal are available to consolidate and issue the monitoring reports and guarantee the implementation of the preventive and corrective actions defined in the periodic reports.</p>	<p>Submit semiannual reports throughout the Project’s execution. The first report will be submitted at the end of the first semester as of the date on which the Project was declared effective.</p>	MVCT
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project, which has or may have a significant negative effect on the environment, the affected communities, the public or the workers (e.g. deaths, gender-based violence, sexual harassment in the workplace, ethnic discrimination or xenophobia, impacts on natural habitats). Provide sufficient details on the incident or accident and state the immediate measures that were taken or are planned to be taken to overcome the incident or accident, and all the information provided by the contractor and supervision entities, as may correspond. Later on, at the Bank’s request, prepare a report on the incident or accident and suggest measures to avoid it from occurring again.</p> <p>The Borrower’s guidelines “Response to environmental, social and occupational health and safety incidents in projects financed by the World Bank (WB)” must be included as an attachment to the Project Operational Manual (POM).</p>	<p>MVCT will inform the Bank within no more than 48 hours of the occurrence of the incident or accident. The corresponding report will be submitted within a deadline acceptable to the Bank, as requested.</p>	MVCT
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>For contracts for works and other activities that are environmentally and/or socially relevant, the MVCT will require that contractors submit monthly monitoring reports to the Project’s execution unit. Works supervision firms must also submit monthly reports to the Project’s execution unit. The MVCT will submit monthly reports prepared by the contractors and supervision firms whenever the Bank may request them.</p>	<p>Monthly report to the MVCT throughout the Project’s implementation.</p>	Contractors and MVCT

SIGNIFICANT MEASURES AND ACTIONS	TERM	ENTITY RESPONSIBLE
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1 ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain an organizational structure to manage environmental, social and health and safety risks. This structure must consist of: one environmental leader, one social leader, one leader in occupational health and industrial safety.</p> <p>Furthermore, and considering the specific conditions of each work area, the MVCT will be aided by professionals specialized in Social Sciences, Communications, Archaeology, Biotics, Forestry or those required in the Project.</p> <p>Should the current national emergency due to the Covid-19 pandemic persist, and in accordance with the environmental laws in force, a public health or related specialist must be engaged by the Project's implementation unit. This technician or professional, along with the Project team, will be in charge of managing and ensuring compliance with the biosafety protocols applicable to each work front and the sanitary provisions issued by the national government.</p> <p>If required, the Bank will petition the inclusion of supporting professionals for temporary periods and for specific needs. The MVCT must be able to expand its professional staff according to the environmental and social requirements and to guarantee the Project's proper environmental and social management.</p>	<p>Key personnel will be engaged prior to initiating the corresponding bid. This organizational structure must be maintained throughout the Project's implementation.</p>	<p>MVCT</p>
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>The MVCT will be responsible for conducting the Project's Environmental and Social Assessment (ESA) as part of the ESMF. The ESA will identify and assess the environmental and social risks and impacts that may be encountered throughout the Project's cycle, pursuant to the World Bank's Environmental and Social Standard.</p> <p>To do so, the MVCT must provide a description and detailed scope of the Project's activities during the pre-construction, construction, operation/implementation and closing phases, as well as describe the characteristics of the municipalities participating in the Project. The ESA's level of detail will be in line with the level of intervention of the works to be carried out. If required, the ESA will be updated and complemented whenever the Bank deems it necessary.</p>	<p>The final version of the ESMF must be ready within 30 days of the Project's effective date and prior to initiating the corresponding bid.</p>	<p>MVCT</p>
<p>1.3 MANAGEMENT INSTRUMENTS AND TOOLS</p> <p>The main Management Instruments and Tools are the Environmental and Social</p>	<p>The ESMF must be completed within 30 days of the Project's effective date and prior to</p>	<p>MVCT</p>

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	<p>Management Framework of the Project (ESMF), the general Environmental and Social Management Plan (ESMP) of the Project and the Stakeholder Engagement Plan (SEP).</p> <p>Each contractor for works will create and implement a Contractor Environmental and Social Management Plan (ESMP) in observance of the ESA, the ESMF and the Project's SEP. The ESMP may be revised and adjusted by the contractor with a view to incorporating unforeseen and/or area-specific impacts and the territory's cultural and socioeconomic specificities, thus updating the proposed management measures.</p> <p>Should the Bank deem it necessary, the MVCT will update or devise new Environmental and Social Management tools focusing on managing risks or impacts not previously identified and/or emerging during the Project's development.</p>	<p>initiating the corresponding bid.</p> <p>Implement the ESMP throughout the implementation for each municipality.</p>	Contractor
1.4	<p>MANAGEMENT OF CONTRACTORS/SUPERVISION FIRMS</p> <p>a) Incorporate relevant aspects of this ESCP, including the corresponding environmental and social instruments or plans and the Labor Management Procedures (LMP) in the environmental, social, health and safety specifications of the bid and the procurement documents involving consulting firms, contractors and supervision firms, as well as in the corresponding contracts.</p> <p>b) Ensure that the consulting firms, contractors and supervision firms comply with the environmental, social, health and safety specifications laid out in their corresponding contracts, and with the codes of conduct that expressly refer to the relevant actions to prevent the infringement of rights of children, adolescents and youngsters and prevent and respond to Gender-Based Violence (GBV), Sexual Exploitation and Abuse (SEA) and Sexual Harassment in the Workplace (SH) events.</p>	<p>a) Before initiating the corresponding bid.</p> <p>b) Throughout the Project's execution.</p>	<p>a) MVCT</p> <p>b) MVCT</p>
ESS 2: LABOR AND WORKING CONDITIONS;			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>a) Finalize the Labor Management Procedures (LMP) so that they are acceptable</p>	<p>a) Must be completed within 30 days of</p>	MVCT

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	<p>to the Bank. They must include labor policies that focus on non-discrimination and the prevention of child and forced labor.</p> <p>b) Implement the LMP.</p>	<p>the Project's effective date and prior to initiating the corresponding bids. May be updated each year based on the Annual Operational Plan (AOP).</p> <p>b) Throughout the Project's execution.</p>	MVCT
2.2	<p>GRIEVANCE MANAGEMENT FOR PROJECT WORKERS</p> <p>Update when necessary and implement the grievance mechanism for Project workers as described in the LMP. The mechanism must ensure the anonymity and confidentiality of grievances relating to potential discrimination, unfair labor conditions, Gender-Based Violence (GBV), Sexual Exploitation and Abuse (SEA) and Sexual Harassment in the Workplace (SH) events.</p>	As of the Project's effective date and throughout its execution.	MVCT
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MEASURES</p> <p>a) As part of each Contractor's ESMP (ESMP -C), they must devise specific Occupational Health and Safety (OHS) measures (including readiness measures and emergency response) following the guidelines of the ESMF.</p> <p>b) Incorporate the OSH measures to the bid documents and in the contracts subscribed with the consulting firms, contractors and supervision firms.</p> <p>c) Ensure that the specific OHS measures are implemented in each worksite.</p>	<p>a) During the development of the each ESMP.</p> <p>b) Prior to initiating the corresponding bids</p> <p>c) Throughout the execution of the works.</p>	<p>Contractors</p> <p>MVCT</p> <p>MVCT</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT</p> <p>Include in the ESMF the measures and actions to manage the specific risks to and impacts on the community stemming from the Project's activities.</p>	Prior to initiating the corresponding bids	MVCT
ESS 4: COMMUNITY HEALTH AND SAFETY;			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Include in the ESMP and implement measures and actions to manage the specific risks to and impacts on the community stemming from the Project's activities. Must include, among others: increase in potential road accidents, interruption of normal vehicular traffic in the area, noise emission, gas emission and particle dispersion into the atmosphere.</p> <p>Then each contractor must come up with their own measures within the specific</p>	Same schedule for the preparation and execution of the corresponding ESMPs.	Contractor

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	ESMP -C.		
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>As part of the Project’s ESMF, the MVCT will formulate the measures to mitigate and manage the health risks to the community arising from the project activities relating to the arrival of vehicles and labor to the communities, noise, atmospheric contamination, disease spreading, and in particular and differentially, the measures enabling the safe and universal access of the population, especially those most vulnerable, to their homes, businesses or permanently used social equipment.</p> <p>Then the contractors must come up with their own specific ESMP-C, which must include measures to mitigate and manage the health risks to the communities of the areas of influence/municipality.</p>	<p>Prior to initiating the corresponding bids</p> <p>Same schedule for the preparation and execution of the corresponding ESMPs.</p>	<p>MVCT</p> <p>Contractor</p>
4.3	<p>SECURITY STAFF</p> <p>Create and implement a procedure to govern the proper conduct of the security staff of the Project under the ESS 4.</p> <p>The procedure to be implemented throughout the Project, which is mandatory for all staff engagement processes, must guarantee compliance with at least the following aspects:</p> <ul style="list-style-type: none"> • Background and employment history checks, highlighting awareness of excessive use of force situations. • Training prior to employment on the use of security protocols, the use of force and appropriate conducts vis-à-vis workers and communities involved in the Project. • Monitor the performance of the security staff • Sanctions applicable to misconduct or excessive use of force in the workplace and against workers and communities involved in the Project. 	<p>The procedure will be sent for the Bank’s revision and no-objection prior to preparing the procurement documents. Once approved, it must be included in the security staff engagement processes under the responsibility of the contractors.</p>	<p>MVCT</p>
<p>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</p>			
<p>This standard is not relevant.</p>			
<p>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</p>			

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Measures to protect biodiversity must be included within the ESMF. These measures include: definition of the environmental criteria for the selection of homes, and in particular, the social infrastructure to be constructed; confirmation that no sensitive natural zones in the influence area are affected and define measures to manage and mitigate impacts should these areas exist. All management actions laid out in the ESMF must adjust to the specific conditions of the works contractors through the ESMP-C.</p>	Prior to initiating the corresponding bids	MVCT
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS</p> <p>Prepare, adopt and implement the procedure for chance finds described in the ESMF and ESMPs prepared for the Project.</p>	Throughout the Project's implementation	MVCT and Contractors
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN</p> <p>a) Consult and disclose the SEP among the stakeholders, undertaking to incorporate their considerations, opinions and recommendations in the definitive SEP that will kick off the Project's execution. The consultation and disclosure of the SEP during this phase will be in line with Decrees 417, 457 and 491 / 2020 whereby the National Government adopted measures as a consequence of the sanitary emergency and the recommendations of the technical note "Public consultations and stakeholder engagement in operations backed by the World Bank when there are restrictions on the organization of public meetings" issued March 20, 2020.</p> <p>b) Implement the SEP, guaranteeing monitoring forums with inclusive engagement that is culturally and socially appropriate for all stakeholders.</p> <p>c) Report on the implementation of the SEP</p>	<p>a) At the beginning of the planning phase for each municipality and updated as needed.</p> <p>b) Throughout the Project's execution.</p> <p>c) Semiannually as part of the reports to the Bank.</p>	MVCT

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Implement the grievance mechanism that is culturally appropriate and helps improve the Project’s environmental and social performance. Included in the SEP.</p>	<p>Starts operating upon the project’s preparation and throughout its implementation.</p> <p>Report results in the quarterly reports.</p>	MVCT
CAPACITY STRENGTHENING (TRAINING)			
	<p>Develop a Project training plan per the details provided in the ESMF in a manner acceptable to the Bank. Once approved, the plan must be implemented and updated every year considering the project’s emerging needs.</p>	<p>Within three (3) months of the Project’s Effective Date present a detailed training plan, along with its schedule, budget, goals and indicators.</p> <p>Update the Plan every year, including the planning of training activities for the subsequent year.</p> <p>Report the training plan’s implementation and monitoring of its indicators in the Project’s environmental and social performance quarterly reports.</p>	MVCT